

Part C State Annual Performance Report (APR) for FFY 2006

Overview of the Annual Performance Report Development:

The data used to measure this indicator is taken from spring 2007 site visits of programs that are part of Cycle 4 (of the five-year site visit cycle). These programs are located in Pinal and Gila counties and in the Southeastern Arizona counties of Cochise, Graham, and Greenlee. During each site visit, a minimum of two files per service coordinator were reviewed using the child file audit tool, which provided the data for this indicator. Reasons for delay were not collected during FFY 2006.

The actual target data were presented at a stakeholders meeting on November 9, 2007, at which time improvement activities completed and progress in meeting the target were discussed. In late March 2008, the State will report, on its website, progress or slippage made in meeting the measurable and rigorous target found in the SPP, and the performance of each early intervention program located in the State on the target in the SPP.

Monitoring Priority: Effective General Supervision Part C/Effective Transition

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;
- B. Notification to Local Education Agency (LEA), if child potentially eligible for Part B; and
- C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a) (3) (B) and 1442)

Measurement:

- A. Percent = $\left[\frac{\text{\# of children exiting Part C who have an IFSP with transition steps and services}}{\text{\# of children exiting Part C}} \right] \text{ times } 100.$
- B. Percent = $\left[\frac{\text{\# of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred}}{\text{\# of children exiting Part C who were potentially eligible for Part B}} \right] \text{ times } 100.$
- C. Percent = $\left[\frac{\text{\# of children exiting Part C and potentially eligible for Part B where the transition conference occurred}}{\text{\# of children exiting Part C who were potentially eligible for Part B}} \right] \text{ times } 100.$

FFY	Measurable and Rigorous Target
2006	100%

Actual Target Data for FFY 2006:

A. 91% of children exiting Part C who have an IFSP with transition steps and services

62 of 68 files were compliant, as disaggregated by county below:

- ❖ 82% Pinal and Gila County (28/34 files)
 - 100% (14/14 files) Easter Seals Blake Foundation
 - 70% (14/20 files) Division of Developmental Disabilities (DDD)
- ❖ 100% Cochise, Graham, and Greenlee County (34/34 files)
 - 100% Easter Seals Blake Foundation
 - 100% DDD

B. 68% of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred

15 of 22 files were compliant, as disaggregated by county below:

- 30% Pinal and Gila County (3/10 files)
 - 100% Easter Seals Blake Foundation (2/2 files)
 - 13% DDD (1/8 files)
- 100% Cochise, Graham, and Greenlee County (12/12 files)
 - 100% Easter Seals Blake Foundation (6/6 files)
 - 100% DDD (6/6 files)

C. 67% children exiting Part C and potentially eligible for Part B where the transition conference occurred

14 of 21 files were compliant, as disaggregated below:

- 33% Pinal and Gila County (3/9 files)
 - 100 % Easter Seals Blake Foundation (2/2 files)
 - 14% DDD (1/7 files)
- 83% Cochise, Graham, and Greenlee County (11/12 files)
 - 83% Easter Seals Blake Foundation (5/6 files)
 - 100% DDD (6/6)

Explanation of progress or slippage that occurred for FFY 2006:

Arizona experienced progress in 8A (from 80% in FFY 2005) and 8B (from 57% in FFY 2005), but slippage in 8C (from 89% in FFY 2005).

The results for FFY 2006 come from Cycle 4 monitoring data. Five counties were included in this monitoring cycle. Graham, Greenlee, and Cochise counties were compliant across the Indicator. Pinal and Gila counties were not in compliance. The service coordinators in Pinal and Gila counties were not using the proper forms for documenting activities related to notification and transition meetings. School districts in these counties were also not following requirements of the Transition IGA.

DES/AzEIP staff provided on-site technical assistance to the service coordinators related to their responsibilities under the IGA, along with the required forms.

The AzEIP/ADE Alert system put into place has also provided the opportunity for technical assistance related to compliance.

FFY 2006 December 2007 Update:

In October 2007, AZEIP and ADE conducted a Regional Quarterly Training on the Transition IGA in Pinal and Gila County. Representatives from both the early intervention program and the Public Education Agency (PEA) attended the training.

DES/AZEIP staff and the Technical Assistance and Monitoring Specialists (TAMS) for this region made follow-up site visits in December 2007 to determine what strategies have been put into place by the service coordinators, improvement in their data and identification of new strategies and improvement targets to ensure compliance is corrected within the year.

During the six month verification visit with DDD in Pinal and Gila counties, the AZEIP CQICs and TAMS reviewed files to determine progress on non-compliance related to transition:

A. 92% of children exiting Part C who have an IFSP with transition steps and services

12/13 files were compliant, as disaggregated by county below:

- ❖ 100% Pinal (12/12 files)
- ❖ 0% Gila County (0/1) files

B. 78% of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred

7 of 9 files were compliant, as disaggregated by county below:

- 100% Pinal (7/7 files)
- 0% Gila County (0/2 files)

C. 67% children exiting Part C and potentially eligible for Part B where the transition conference occurred

4 of 6 files were compliant, as disaggregated below:

- 100% Pinal (4/4 files)
- 0% Gila County (0/2 files)

Pinal County met substantial compliance for all transition items at 100%. Gila County has not met compliance for all transition items. In Gila County, one service coordinator position is vacant and service coordinator functions are fulfilled by a unit supervisor as an interim measure. As of the December 2007 site visit, interviews for the service coordinator position were scheduled. The TAMS will be making an on-site visit with this service coordinator in January 2008 to identify strategies to move toward compliance. The return of a dedicated service coordinator position is expected to address non-compliance.

FFY 2005 Correction of non-compliance

Cycle three programs meeting compliance at or within one year of notification of non-compliance:

County	Program	Indicator 8 C = corrected within one year N = continued non-compliance at one year		
		8 A.	8B.	8C.
Yavapai	ACP, Inc.	C	C	C
Coconino	ASDB North	C	C	C
	NAU/AZEIP Model	C	C	C
	DDD-Flagstaff	N	C	N
Navajo/Apache	Hummingbird	C	C	N
	Northland	C	C	C
	DDD-Show Low	C	C	C
	DDD- Navajo Nation	C	N	N

Discussion of Data

- 63%, or five of eight programs, corrected their non-compliance regarding transition at or within one year of notification of non-compliance.

Within 15 months, 88%, seven of the eight programs from Cycle 3, corrected their non-compliance. One program still has remaining transition items as non-compliant.

Of these programs:

- DDD Flagstaff demonstrated 100% compliance in 8A and 8C during a file verification visit in June 2007; 15 months from the time of the original site visit.
- DDD- Navajo Nation recently demonstrated 100% compliance with the notification and transition items; 14 months to demonstrate compliance.
- Hummingbird is the only program in Cycle 3 that continues to have an item of non-compliance, 8C, 16 months after their site visit in June 2006. Hummingbird has an extremely low case load of only 5 current children, the next of which is scheduled to transition in February 2008. Specific technical assistance provided to ensure correction included:
 - TA discussion held during a file review conducted in October 2007.
 - The service coordinator attended the joint Arizona Early Intervention Program (AZEIP)/ Arizona Department of Education (ADE) training on federal and State transition requirements in November 2007, including the requirements for transition conferences.
 - AZEIP TAMS followed up with email and phone support to ensure timing of the next child transition.
 - This programs' next child transition will occur in February 2008. The AZEIP TAMS will visit to verify that the child's Transition Conference occurred. (Update- this item was successfully corrected in March of 2008 and the CAP was closed.)

Improvement Activities	Timelines	Status
Update IFSP form and related procedures, as	March - May 2006	Completed fall 2006 and

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needed, to align with SPP indicators. Revise IFSP and IFSP Guidance document to delineate transition steps and services.		effective January 1, 2007. Please see Indicator 1 regarding revision to the IFSP and IFSP Guidance.
Disseminate revised IFSP form with Guidance document.	July 2006	Completed fall 2006 and effective January 1, 2007. Please see Indicator 1 regarding distribution on the revised IFSP.
Provide technical assistance related to required documentation of transition information on the IFSP and related documents.	July - September 2006	Completed. Please see A, above, and Indicator 1 regarding training on the revised IFSP.
Revise, if needed, the Transition IGA to align with IDEA 2004, when regulations are available.	December 2007	Transition IGA revised to align with IDEA, 2004; regulations not final
Continue annual cross-training on the Transition IGA in collaboration with ADE.	November 2006 and annually through 2010.	AzEIP and ADE updated training materials on transition. AzEIP Fall 2007 Regional Quarterly Trainings will be dedicated to joint presentation and TA on the revised Child Find and Transition IGA and related procedures. Service coordinators and providers, along with PEA representatives, are to attend. These Regional Trainings were held between October and-December 2007.
Prepare and disseminate written technical assistance (such as a Technical Assistance Bulletin) on transition policy and procedures.	October 2007	Timeframe outside of reporting period. Draft completed. Not yet finalized or completed.
Revise monitoring tools to collect: <ul style="list-style-type: none"> - reason data when transition conference not held as required. - date when conference held. 	February – March 2007	Completed February 2007
Provide technical assistance to assist local communities in preparing procedures to ensure smooth transition and to resolve challenges.	September 2007 and ongoing.	Completed and ongoing.
Focused technical assistance requiring programs to drill-down issues when non-compliance identified.	June 2007	AzEIP and ADE instituted an Alert system to allow local Part C and Part B representatives to notify their State contacts of compliance issues which were not able to be resolved at the local level. This alert system has provided a systematic method for DES/AzEIP and ADE staff to provide TA to their

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		programs that have been unable or unwilling to resolve with their local partners, On-going TA provided through focused site visits and follow up TA visits with the TAMS.
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